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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/903,188	07/11/2001	Edward M. De Robertis	510015-258	1059
75	590 05/27/2004		EXAM	INER
Attention : Ch		ROMEO, DAVID S		
	R WOLFF & DONNELL	Y	ART UNIT	PAPER NUMBER
38th Floor 2029 Century P	lorle Foot			PAPER NOWIDER
	CA 90067-3024		1647	
Los Higeles, C	M 70007-3024		DATÉ MAILED: 05/27/2004	4

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)
		09/903,188	DE ROBERTIS ET AL.
Office Action Summary		Examiner	Art Unit
		David S Romeo	1647
Period fo	The MAILING DATE of this communication apport Reply	pears on the cover sheet with the c	orrespondence address
THE - Exte after - If the - If NO - Failu Any	MORTENED STATUTORY PERIOD FOR REPL' MAILING DATE OF THIS COMMUNICATION. IT SIX (6) MONTHS from the mailing date of this communication. It is period for reply specified above is less than thirty (30) days, a reply or period for reply is specified above, the maximum statutory period of the toreply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tin y within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from . cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).
Status			
	Responsive to communication(s) filed on 22 A		
	,—	action is non-final.	
3)[_	• •		
	closed in accordance with the practice under E	-x рапе Quayle, 1935 С.D. 11, 4:	)3 U.G. 213.
Disposit	ion of Claims		
4)🖂	Claim(s) 6-8,11 and 12 is/are pending in the a	pplication.	
	4a) Of the above claim(s) 11 is/are withdrawn to	from consideration.	
5)□	Claim(s) is/are allowed.		
6)🛛	Claim(s) 6-8 and 12 is/are rejected.		
•	Claim(s) is/are objected to.		
8)⊠	Claim(s) 6-8,11 and 12 are subject to restriction	n and/or election requirement.	
Applicat	ion Papers		
•	The specification is objected to by the Examine		
10)	The drawing(s) filed on is/are: a) acc		
	Applicant may not request that any objection to the		
🗀	Replacement drawing sheet(s) including the correct		
11)[]	The oath or declaration is objected to by the Ex	caminer. Note the attached Office	ACTION OF TORM P10-152.
Priority (	under 35 U.S.C. § 119		
12)[	Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	)-(d) or (f).
	☐ All b)☐ Some * c)☐ None of:		
	1. Certified copies of the priority document	s have been received.	
	2. Certified copies of the priority document	s have been received in Applicati	on No
	3. Copies of the certified copies of the prior	rity documents have been receive	ed in this National Stage
	application from the International Burea		
* (	See the attached detailed Office action for a list	of the certified copies not receive	
• • •	<i>w</i> >		
Attachmen	nt(s) ce of References Cited (PTO-892)	4) Interview Summary	(PTO-413)
	ce of References Cited (P10-692) ce of Draftsperson's Patent Drawing Review (PT0-948)	Paper No(s)/Mail D	ate
, —	mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) er No(s)/Mail Date <u>0402</u> .	5)  Notice of Informal F 6)  Other:	Patent Application (PTO-152)

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## **DETAILED ACTION**

The preliminary amendments filed 11/17/2003 and 07/11/2001 have been entered.

Claims 6-8, 11, 12 are pending.

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Applicant's election of group I, claims 6-8, 12, in Paper No./the paper filed 08/22/2003 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

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Applicant's election of the polypeptide encoded by SEQ ID NO: 10 or comprising the amino acid sequence of SEQ ID NO: 9 species in Paper No./the paper filed 12/08/2003 is acknowledged.

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Claim 11 is withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made without traverse in Paper No./the paper filed 08/22/2003.

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Claims 6-8, 12 are being examined. Claim 12 is being examined only to the extent that it reads upon the polypeptide encoded by SEQ ID NO: 10 or comprising the amino acid sequence of SEQ ID NO: 9 species.

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## **Priority**

Applicant has not complied with one or more conditions for receiving the benefit of an earlier filing date under 35 U.S.C. 120 as follows:

An application in which the benefits of an earlier application are desired must contain a specific reference to the prior application(s) in the first sentence of the specification or in an application data sheet (37 CFR 1.78(a)(2) and (a)(5)). The specific reference to any prior nonprovisional application must include the relationship (i.e., continuation, divisional, or continuation-in-part) between the applications except when the reference is to a prior application of a CPA assigned the same application number.

It is acknowledged that the present application contains a specific reference to the 08/874,474 prior application in the first sentence of the specification. However, the specific reference to the 08/874,474 prior nonprovisional application does not include the relationship (i.e., continuation, divisional, or continuation-in-part) between the applications. The status of nonprovisional parent application(s) (whether patented or abandoned) should also be included. If a parent application has become a patent, the expression "now Patent No. \_\_\_\_\_" should follow the filing date of the parent application. If a parent application has become abandoned, the expression "now abandoned" should follow the filing date of the parent application.

If a benefit claim to a provisional application is submitted without an indication that an intermediate application directly claims the benefit of the provisional application and the instant nonprovisional application is not filed within the 12 month period or the relationship between each nonprovisional application is not indicated, the Office will not recognize such benefit claim and will not include the benefit claim on the filing receipt.

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Therefore, a petition under 37 CFR 1.78(a) and the surcharge set forth in 37 CFR 1.17(t) will be required if the intermediate application and the relationship of each nonprovisional application are not indicated within the period set forth in 37 CFR 1.78(a). Even if the Office has recognized a benefit claim by entering it into the Office's database and including it on applicant's filing receipt, the benefit claim is not a proper benefit claim under 35 U.S.C. 119(e) or 35 U.S.C. 120 and 37 CFR 1.78 unless the reference is included in an ADS or in the first sentence of the specification and all other requirements are met. Accordingly, the benefit of the filing dates of the 08/874,474 nonprovisional application and the 60/020,150 provisional application is denied.

It is acknowledged that Applicants submitted a petition on 11/17/2003 to accept an unintentionally delayed claim for priority. However, that petition has been dismissed. See the paper mailed 05/21/2004.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 6-8, 12 are rejected under 35 U.S.C. 102(b) as being anticipated by De Robertis (N).

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This rejection is being made because the Office does not recognize Applicants benefit claims to the 08/874,474 nonprovisional application and the 60/020,150 provisional application, as discussed above.

De Robertis discloses a substantially pure protein characterized by a

physiologically active form and comprising an amino acid sequence encoded by the DNA of SEQ ID NO: 10 (page 25, claim 6). De Robertis's SEQ ID NO: 10 is identical to the present application's SEQ ID NO: 10, as indicated below (Qy = the present application's SEQ ID NO: 10) (Db = De Robertis's SEQ ID NO: 10):

```
10
                AAV14017 standard; cDNA; 1893 BP.
                09-JUL-1998 (first entry)
15
                Human "frazzled" frzb-1 cDNA
                Growth factor; frazzled; frzb-1; Wnts antagonist; human;
                tumour suppressor; cancer; ds.
20
                Homo sapiens.
          XX
FH
                                   Location/Qualifiers
          FT
FT
FT
XX
25
                                   /*tag= a
/product= frzb-1_protein
                W09748275-A1.
30
                24-DEC-1997.
                19-JUN-1997:
                                  97WO-US10942.
                                  97US-0878474
35
                20-JUN-1996;
                                  96US-0020150.
                (REGC ) UNIV CALIFORNIA.
                Bouwmeester T, De Robertis EM;
40
                WPI; 1998-062760/06.
                P-PSDB; AAW41254
                New isolated growth factors - with neurotrophic, growth or differentiation factor activity, tumour growth suppressor activity or mesoderm differentiation activity
45
                Claim 6; Fig 10; 48pp; English.
50
                The present sequence encodes the human growth factor protein
                "frazzled" frzb-1. frzb-1 is an antagonist of Whits in vivo, and
thus is believed to find utility as a tumour suppressor gene,
                since overexpressed Wnt proteins cause cancer. Frzb-1 may also be a useful vehicle for solubilisation and therapeutic delivery of
55
                complexed Wnt proteins.
                Sequence 1893 BP; 516 A; 438 C; 432 G; 507 T; 0 other;
                                         100.0%; Score 1893; DB 19; Length 1893;
60
            Best Local Similarity 100.0%; Pred. No. 0;
            Matches 1893; Conservative
                                                 0, Mismatches
                                                                       0; Indels
                        1 GGCGGAGCGGGCCTTTTGGCGTCCACTGCGCGGGTCCACCTGCCCCATCTGCCGGGATC 60
65
          Db
                       61 ATGGTCTGCGCAGCCCGGAGGGATGCTGCTGCTGCGGGCCGGCTGCTTGCCCTGGCT 120
          Qy
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	Db	61 ATOGTCTGCGGCAGCCCGGGAGGGATGCTGCTGCTGCGGGCCGGGCTGCTTGCCCTGGCT 120	
_	Qy	121 GCTCTCTGCCTGCTCCGGGTGCCCGGGGCTGCAGCCTGTGAGCCCGTCCGCATC 180	
5	DЬ	121 GCTCTCTGCCTGCTCCGGGTGCCCGGGGCTCGGGCCTGCAGCCTGTGAGCCCGTCCGCATC 180	
	Qy Db	181 CCCCTGTGCAAGTCCCTGCCCTGGAACATGACTAAGATGCCCAACCACTGCACCACGGC 240	
10	Qy	241 ACTCAGGCCAACGCCATCCTGGCCATCGAGCAGTTCGAAGGTCTGCTGGGCACCCACTGC 300	
	Db		
15	Qy	301 AGCCCCGATCTGCTCTTCTCTCTGTGCCATGTACGCGCCCATCTGCACCATTGACTTC 360	
	Db Ov	301 AGCCCCGATCTGCTCTTCTCCTCTGTGCCATGTACGCCCCATCTGCACCATTGACTTC 360 361 CAGCACGAGCCCATCAAGCCCTGTAAGTCTGTGTGCGAGCGGCCCGGCAGGGCTGTGAG 420	
20	Qy Db	11111111111111111111111111111111111111	
	Qy	421 CCCATACTCATCAAGTACCGCCACTCGTGGCCGGAAAACCTGGCCTGCGAAGAGCTGCCA 480	
25	Db	421 CCCATACTCATCAAGTACCGCCACTCGTGGCCGGAGAACCTGGCCTGCGAGGAGCTGCCA 480	
	Qy	481 GTOTACARCAGGGGGTTSTECATCTCTCCCGAGGCCATCGTTACTGCGGACGGAGCTGAT 540	
30	Db Qy	541 TTTCCTATGGATTCTAGTAACGGAAACTGTAGAGGGGCAAGCAGTGAACGCTGTAATGT 600	
	Db		
35	Qy	601 ARGCCTATTAGAGCTACACAGAAGACCTATTTCCGGAACAATTACAACTATGTCATTCGG 660	
	Db	601 AAGCCTATTAGAGCTACACAGAAGACCTATTTCCGGAACAATTACAACTATGTCATTCGG 660	
40	Qy Db	661 GCTAAAGTTAAAGAGTAAAGACTAAGTGCCATGATTGGACGTGGAGGTGAAG 720	
	Qy	721 GAGATTCTAAAGTCCTCTCTGGTAAACATTCCACGGGACACTGTCAACCTCTATACCAGC 780	
45	Db		
	Qy ·	781 TCTGGCTGCCTCTGCCCTCCACTTAATGTTAATGAGGAATATATCATCATGGGCTATGAA 840	
50	Db	781 TCTGGCTGCCTCTGCCCTCCACTTAATGTTAATGAGGAATATATCATCATGGGCTATGAA 840 841 GATGAGGAACGTTCCAGATTACTCTTGGTGGAAGGCTCTATAGCTGAGAAGTGGAAGGAT 900	
	Qy Db	### B41 GATGAGGAACGTTCCAGATTACTCTTGGTGGAAGGCTCTATAGCTGGAAGGAGTGGAAGGAT 900	
55	Qy	901 CGACTOGGTAAAAAGGTTAAGCGCTGGGATATGAAGCTTOGTCATCTTGGACTCAGTAAA 960	
	Db	901 CGACTCGGTAAAAAGTTAAGCGCTGGGATATGAAGCTTCGTCATCTTGGACTCAGTAAA 960	
60	Qy	961 AGTGATTCTAGCAATAGTGATTCCACTCAGAGTCAGAAGTCTGGAGGAACTCGAACCCC 1020	
	Db Qy	1021 CGGCAAGCACGCAACTAAATCCGGAAATACAAAAAGTAACACAGTGGACTTCCTATTAAG 1080	
65	Db		
	Qy	1081 ACTTACTIGCATGGCTGGACTAGCAAAGGAAAATTGCACTATTGCACATCATATTCTATT 1140	
70	Db	1081 ACTTACTTGCATTGCTGGACTAGCAAAGGAAAATTGCACTATTGCACATCATATTCTATT 1140	
	Qy Db	1141 GTTTACTATAAAAATCATGTGATAACTGATTATTACTTCTGTTTTCGTTTTTGGTTTCTGC 1200	
75	Qy	1201 TTCTCTCTCTCAACCCCTTTGTAATGGTTTGGGGGCAGACTCTTAAGTATATTGTGA 1260	
	Db		
80	Qy	1261 GTTTTCTATTTCACTAATCATGAGAAAAACTGTTCTTTTGCAATAATAAATA	
	Db Qy	1261 GTTTTCTATTTCACTAATCATGAGAAAAACTGTTCTTTTGCAATAATAAAAATAAACA 1320 1321 TGCTGTTACCAGAGCCTCTTTGCTGAGTCTCCAGATGTTAATTTACTTTCTGCACCCCAA 1380	
85	Dp		
	Qy	1381 TTGGGAATGCAATATTGGATGAAAAGAGGTTTCTGGTATTCACAGAAAGCTAGATATG 1440	
90	Db	1381 TTGGGAATGCAATATTGGATGAAAAGAGAGGTTTCTGGTATTCACAGAAAGCTAGATATG 1440	
	Qy Db	1441 CCTTAAAACATACTCTGCCGATCTAATTACAGCCTTATTTTTGTATGCCTTTTTGGGCATT 1500	
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Qy
        DЪ
5
          TOTCACATAGGCAAAGCAATCAAGCACCAGGAAGTGTTTATGAGGAAACAACACCCCAAGA 1620
    Qy
    Db
10
          TGAATTATTTTGAGACTGTCAGGAAGTAAAATAAATAGAGCTTAAGAAGAACATTTT 1680
    Qy
15
          GCCTGATTGAGAAGCACACTGAAACCAGTAGCGGCTGGGTGTTAATGGTAGCATTCTT 1740
    Db
           20
    Db
          Qy
    Db
25
        Qy
```

De Robertis's SEQ ID NO: 10 encodes the amino acid sequence of SEQ ID NO: 9 and SEQ ID NO: 9 is the amino acid sequence of human fizb-1 (page 6, lines 29-31;

Figures 9 and 10). De Robertis's SEQ ID NO: 9 is identical to the present application's SEQ ID NO: 9, as indicated below (Qy = the present application's SEQ ID NO: 9) (Db = De Robertis's SEQ ID NO: 9):

```
35
               AAW41254 standard; protein; 325 AA.
               AAW41254:
40
               09-JUL-1998 (first entry)
               Human "frazzled" frzb-1.
               Growth factor; frazzled; frzb-1; Wnts antagonist; human;
45
                tumour suppressor; cancer.
50
               24-DEC-1997.
               19-JUN-1997;
                                 97WO-US010942.
55
               20-JUN-1996:
                                 96US-0020150P.
                18-JUN-1997;
                                 97US-00878474
                (REGC ) UNIV CALIFORNIA.
60
               De Robertis EM, Bouwmeester T;
               WPI; 1998-062760/06.
               N-PSDB; AAV14017.
65
               New isolated growth factors - with neurotrophic, growth or
               differentiation factor activity, tumour growth suppressor activity or
               mesoderm differentiation activity.
               Claim 6; Fig 9; 48pp; English.
70
               The present sequence is the human growth factor protein "frazzled" frzb-1. frzb-1 is an antagonist of Whts in vivo, and thus is believed to find
               utility as a tumour suppressor gene, since overexpressed Wnt proteins cause cancer. Frzb-1 may also be a useful vehicle for solubilisation and
```

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```
therapeutic delivery of complexed Wnt proteins
       Sequence 325 AA:
5
     Query Match 100.0%; Score 1738; DB 2; Length 325; Best Local Similarity 100.0%; Pred. No. 7.1e-166;
     Matches 325: Conservative
                      0: Mismatches
           10
    DЪ
    QУ
          61 TQANAILAIEQFEGLLGTHCSPDLLFFLCAMYAPICTIDFQHEPIKPCKSVCERARQGCE 120
15
    DЬ
          Qy
20
    Qy
         Db
25
    Qy
           Db
    Qy
30
            Db
```

De Robertis also discloses a complex comprising a substantially pure frzb-1 protein complexed with at least one Wnt protein (claim 12, page 26). Accordingly, De

Robertis discloses a complex comprising a substantially pure frzb-1 protein comprising the amino acid sequence of SEQ ID NO: 9 complexed with at least one Wnt protein.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 12 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The present specification discloses that "substitutional, deletional, or insertional mutants of the novel polypeptides may be prepared by in vitro or recombinant methods and screened for immuno-crossreactivity with cerberus, frzb-1, or PAPC and for cerberus

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antagonist or agonist activity" (page 5, lines 31-35). Hence, it is unclear how to construe the term "frzb-1 protein" because it is unclear if "substitutional, deletional, or insertional mutants" are encompassed by the term "frzb-1 protein." The metes and bounds are not clearly set forth.

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#### Conclusion

#### No claims are allowable.

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20 DIRECTED TO THE GROUP RECEPTIONIST WHOSE TELEPHONE NUMBER IS (703) 308-0196.

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DAVID ROMEO PRIMARY EXAMINER

**ART UNIT 1647** 

May 26, 2004

#### Application/Control No. Applicant(s)/Patent Under Reexamination 09/903,188 DE ROBERTIS ET AL. Notice of References Cited Examiner Art Unit Page 1 of 1 David S Romeo 1647

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
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#### **FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name .	Classification
	N	WO 97/48275	12-1997	wo	De Robertis et al.	
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## **PCT**

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

		<del></del>
(51) International Patent Classification <sup>6</sup> :		(11) International Publication Number: WO 97/48275
A01N 37/18, A61K 38/00, C07K 1/00, 2/00, 4/00, 7/00, 14/00, 16/00, 17/00, C07H 21/02, 21/04	A1	(43) International Publication Date: 24 December 1997 (24.12.97)
(21) International Application Number: PCT/US  (22) International Filing Date: 19 June 1997 (		CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,
(30) Priority Data: 60/020,150 08/878,474 20 June 1996 (20.06.96) 18 June 1997 (18.06.97)	_	Published  S  With international search report.
(71) Applicant: THE REGENTS OF THE UNIVERS CALIFORNIA [US/US]; 22nd floor, 300 Lakesid Oakland, CA 94612 (US).		
(72) Inventors: DE ROBERTIS, Edward, M.; 1695. Ynez Lane, Pacific Palisades, CA 90277. BOUWMEESTER, Tewis; Apartment 708, 8: cring Avenue, Los Angeles, CA 90024 (US).	2 (US	0).
(74) Agents: SIEBERT, J., Suzanne et al.; Majestic, Siebert & Hsue, Suite 1100, Four Embarcadero Cer Francisco, CA 94111 (US).		

(54) Title: ENDODERM, CARDIAC AND NEURAL INDUCING FACTORS

#### (57) Abstract

Novel proteins have been designated "cerberus" and "frzb-1", respectively. Cerebus is expressed as a secreted peptide during embryogenesis of the Xenopus embryo, and is expressed specifically in the head organizer region. This new molecule has endodermal, cardiac, and neural tissue inducing activity, that should prove useful in therapeutic, diagnostic, and clinical applications requiring regeneration, differentiation, or repair of these and other tissues. Frzb-1 is a soluble antagonist of growth factors of the Wnt family that acts by binding to Wnt growth factors in the extracellular space. A third novel protein is termed PAPC which promotes the formation of dorsal mesoderm and somites in the embryo.

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## ENDODERM, CARDIAC AND NEURAL INDUCING FACTORS

#### 5 Field of the Invention

The invention generally relates to growth factors, neurotrophic factors, and their inhibitors, and more particularly to several new growth factors with neural, endodermal, and cardiac tissue inducing activity, to complexes and compositions including the factors, and to DNA or RNA coding sequences for the factors. Further, one of the novel growth factors should be useful in tumor suppression gene therapy.

This application claims the benefit of U.S. Provisional Application No. 60/020,150, filed June 20, 1996.

This invention was made with Government support under grant contract number HD-21502, awarded by the National Institutes of Health. The Government has certain rights in this invention.

#### Background of the Invention

Growth factors are substances, such as polypeptide hormones, which affect the growth of defined populations of animal cells in vivo or in vitro, but which are not nutrient substances. Proteins involved in the growth and differentiation of tissues may promote or inhibit growth, and promote or inhibit differentiation, and thus the general term "growth factor" includes cytokines, trophic factors, and their inhibitors.

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Widespread neuronal cell death accompanies normal development of the central and peripheral nervous systems. Studies of peripheral target tissues during development have shown that neuronal cell death results from the competition among neurons for limiting amounts of survivor factors ("neurotrophic factors"). The earliest identified of these, nerve growth factor ("NGF"), is the most fully characterized and has been shown to be essential for the survival of sympathetic and neural crest-derived sensory neurons during early development of both chick and rat.

One family of neurotropic factors are the Wnts, which have dorsal axis-inducing activity. Most of the Wnt proteins are bound to cell surfaces. (See, e.g., Sokol et al., Science, 249, pp. 561-564, 1990.) Dorsal axis-inducing activity in Xenopus embryos by one member of this family (Xwnt-8) was described by Smith and Harland in 1991, Cell, 67, pp. 753-765. The authors described using RNA injections as a strategy for identifying endogenous RNAs involved in dorsal patterning to rescue dorsal development in embryos that were ventralized by UV irradiation.

Another member of the growth and neurotropic factor family was subsequently discovered and described by Harland and Smith, which they termed "noggin." (Cell, 70, pp. 829-840 (1992).) Noggin is a good candidate to function as a signaling molecule in Nieuwkoop's center, by virtue of its maternal transcripts, and in Spemann's organizer, through its zygotic organizer-specific expression. Besides noggin, other secreted factors may be involved in the organizer phenomenon.

Another Xenopus gene designated "chordin" that begins to be expressed in Spemann's organizer and that can completely rescue axial development in ventralized

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embryos was described by Sasai et al., Cell, 79, pp. 779-790, 1994. In addition to dorsalizing mesoderm, chordin has the ability to induce neural tissue and its activities are antagonized by Bone Morphogenetic Protein-4 (Sasai et al., Nature, 376, pp. 333-336, 1995).

Therefore, the dorsal lip or Spemann's organizer of the Xenopus embryo is an ideal tissue for seeking novel growth and neurotrophic factors. New growth and neurotrophic factors are useful agents, particularly those that are secreted due to their ability to be used in physiologically active, soluble forms because these factors, their receptors, and DNA or RNA coding sequences therefore and fragments thereof are useful in a number of therapeutic, clinical, research, diagnostic, and drug design applications.

#### Summary of the Invention

In one aspect of the present invention, the of the novel peptide that can be substantially purified form is shown by SEQ ID NO:1. The Xenopus derived SEQ ID NO:1 has been designated "cerberus," and this peptide is capable of inducing endodermal, cardiac, and neural tissue development in vertebrates when expressed. The nucleotide sequence which, when expressed results in cerberus, illustrated by SEQ ID NO:2. Since peptides of the invention induce endodermal, cardiac, and neural tissue differentiation in vertebrates, they should be able to be prepared in physiologically active form for a number of therapeutic, clinical, and diagnostic applications.

Cerberus was isolated during a search for molecules expressed specifically in Spemann's organizer containing a secretory signal sequence. In addition to cerberus, two other novel cDNAs were identified.

The Xenopus derived peptide that can be deduced from SEQ ID NO:3 encodes a novel protein we had earlier designated as "frazzled," a secreted protein of 318 amino acids that has dorsalizing activity in Xenopus. We now designate the novel protein as 5 embryos. "frzb-1." The gene for frzb-1 is expressed in many adult tissues of many animals, three of the cDNAs (Xenopus, mouse, and human) have been cloned by us. accession numbers for the Xenopus, mouse, and human frzb-1 cDNA sequences of the gene now designated frzb-1 10 are U68059, U68058, and U68057, respectively. has some degree of sequence similarity to the Drosophila gene frizzled which has been shown to encode a seventransmembrane protein that can act both as a signalling 15 and as a receptor protein (Vinson et al., Nature, 338, pp. 263-264, 1989; Vinson and Adler, Nature, 329, pp. 549-551, 1987). Vertebrate homologues of Frizzled have been isolated and they too were found to be anchored to the cell membrane by seven membrane spanning domains 20 (Wang et al., J. Biol. Chem., 271, pp. 4468-4476, 1996). Frzb-1 differs from the frizzled proteins in that it is an entirely soluble, diffusible secreted protein and therefore suitable as a therapeutic agent. nucleotide sequence derived from Xenopus that, when 25 expressed, results in frzb-1 protein is illustrated by SEQ ID NO:4. The frzb-1 protein derived from mouse is shown as SEQ ID NO:7, while the mouse frzb-1 nucleotide sequence is SEQ ID NO:8. The human derived frzb-1 protein is illustrated by SEQ ID NO:9, and the human 30 frzb-1 nucleotide sequence is SEQ ID NO:10.

Frzb-1 is an antagonist of Whts in vivo, and thus is believed to find utility as a tumor suppressor gene, since overexpressed Wht proteins cause cancer. Frzb-1 may also be a useful vehicle for solubilization

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and therapeutic delivery of Wnt proteins complexed with it.

The final cDNA isolated containing a signal sequence results in a peptide designated Paraxial Protogadherin (PAPC). The cDNA for PAPC is a divergent member of the cadherin multigene family. PAPC is most related to protocadherin 43 reported by Sano et al., The EMBO J., 12, pp. 2249-2256, 1993. As shown in SEQ ID NO:5, the PAPC gene encodes a transmembrane protein of 896 amino acids, of which 187 are part of intracellular domain. PAPC is a cell adhesion molecule, and microinjection of PAPC mRNA constructs into Xenopus embryos suggest that PAPC acts as a molecule involved in mesoderm differentiation. A soluble form of the PAPC extracellular domain is able to block muscle and mesoderm formation in Xenopus embryos. The nucleotide sequence encoding Xenopus PAPC is provided in SEQ ID NO:6.

Cerberus, frzb-1, or PAPC or fragments thereof (which also may be synthesized by in vitro methods) may be fused (by recombinant expression or in vitro covalent methods) to an immunogenic polypeptide and this, in turn, may be used to immunize an animal in order to raise antibodies against the novel proteins. Antibodies are recoverable from the serum of immunized animals. Alternatively, monoclonal antibodies may be prepared from cells from the immunized animal in conventional fashion. Immobilized antibodies are useful particularly in the diagnosis (in vitro or in vivo) or purification of cerberus, frzb-1, or PAPC.

Substitutional, deletional, or insertional mutants of the novel polypeptides may be prepared by in vitro or recombinant methods and screened for immunocrossreactivity with cerberus, frzb-1, or PAPC and for cerberus antagonist or agonist activity.

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Cerberus or frzb-1 also may be derivatized in vitro in order to prepare immobilized and labelled proteins, particularly for purposes of diagnosis of insufficiencies thereof, or for affinity purification of antibodies thereto.

Among applications for the novel proteins are tissue replacement therapy and, because frzb-1 is an antagonist of Wnt signaling, tumor suppression therapies. The cerberus receptor may define a novel signalling pathway. In addition, frzb-1 could permit the isolation of novel members of the Wnt family of growth factors.

#### Brief Description of the Drawings

Figure 1 illustrates the amino acid sequence (SEQ ID NO:1) of the Fig. 2 cDNA clone for cerberus;

Figure 2 illustrates a cDNA clone (SEQ ID NO:2) for cerberus derived from Xenopus. Sense strand is on top (5' to 3' direction) and the antisense strand on the bottom line (in the opposite direction);

Figures 3 and 4 show the amino acid and nucleotide sequence, respectively, of full-length frzb-1 from Xenopus (SEQ ID NOS:3 and 4);

Figures 5 and 6 show the amino acid and nucleotide sequence, respectively, of full-length PAPC from Xenopus (SEQ ID NOS:5 and 6);

Figures 7 and 8 show the amino acid and nucleotide sequence, respectively, of full-length frzb-1 from mouse (SEQ ID NOS:7 and 8); and

Figures 9 and 10 show the amino acid and nucleotide sequence, respectively, of full-length frzb-1 from human (SEQ ID NOS:9 and 10).

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### Detailed Description of the Preferred Embodiments

Among the several novel proteins and their nucleotide sequences described herein, is a novel endodermal, cardiac, and neural inducing factor in vertebrates that we have named "cerberus." When referring to cerberus, the present invention also contemplates the use of fragments, derivatives, agonists, or antagonists of cerberus molecules. Because cerberus has no homology to any reported growth factors, it is proposed to be the founding member of a novel family of growth factors with potent biological activities, which may be isolated using SEQ ID NO:2.

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The amphibian organizer consists of several cell populations with region-specific activities. On the basis of morphogenetic movements, different cell populations verv distinguished in the organizer. First, cells with crawling migration movements involute, fanning out to form the prechordal plate. Second, cells involute through the dorsal lip driven by convergence and extension movements, giving rise to the notochord of the trunk. Third, involution ceases and the continuation of mediolateral intercalation movements leads to posterior extension movements and to the formation of the tail notochord and of the chordoneural hinge. The three cell populations correspond to the head, trunk, and tail organizers, respectively.

The cerberus gene is expressed at the right time and place to participate in cell signalling by Spemann's organizer. Specifically, cerberus is expressed in the head organizing region that consists of crawling-migrating cells. The cerberus expressing region corresponds to the prospective foregut, including the liver and pancreas anlage, and the heart mesoderm.

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Cerberus expression is activated by chordin, noggin, and organizer-specific homeobox genes.

Our studies were conducted in early embryos of the frog Xenopus laevis. The frog embryo is well suited to experiments, particularly experiments pertaining to generating and maintaining regional differences within the embryo for determining roles in tissue differentiation. It is easy to culture embryos with access to the embryos even at very early stages of development (preceding and during the formation of body pattern and differentiation) and the embryos are large. The initial work with noggin and chordin also had been in Xenopus embryos, and, as predicted, was highly conserved among vertebrates. Predictions based on work with Xenopus as to corresponding human noggin were proven true and the ability to clone the gene for human noggin was readily accomplished. (See the description of Xenopus work and cloning information in PCT application, published March 17, 1994, WO 9 405 800, and the subsequent human cloning based thereon in the PCT application, also published March 17, 1994, as WO 9 405 791.)

#### CLONING

The cloning of cerberus, frzb-1, and PAPC resulted from a comprehensive screen for cDNAs enriched in Spemann's organizer. Subtractive differential screening was performed as follows. In brief, poly A\*RNA was isolated from 300 dorsal lip and ventral marginal zone (VMZ) explants at stage 10½. After first strand cDNA synthesis approximately 70-80% of common sequences were removed by substraction with biotinylated VMZ poly A\*RNA prepared from 1500 ventral gastrula halves. For differential screening, duplicate filters (2000 plaques per 15 cm plate, a total of 80,000 clones

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screened) of an unamplified oriented dorsal lip library were hybridized with radiolabeled dorsal lip or VMZ cDNA. Putative organizer-specific clones were isolated, grouped by sequence analysis from the 5' end and whole-mount in situ hybridization, and subsequently classified into known and new dorsal-specific genes. Rescreening of the library (100,000 independent phages) with a cerberus probe resulted in the isolation of 45 additional clones, 31 of which had similar size as the longest one of the 11 original clones indicating that they were presumably full-length cDNAs. The longest cDNAs for cerberus, frzb-1, and PAPC were completely sequenced.

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To explore the molecular complexity of

Spemann's organizer we performed a comprehensive
differential screen for dorsal-specific cDNAs. The
method was designed to identify abundant cDNAs without
bias as to their function. As shown in Table 1, five
previously known cDNAs and five new ones were isolated,
of which three (expressed as cerberus, frzb-1, and PAPC,
respectively) had secretory signal sequences.

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#### TABLE 1

	Previously Known Genes	Gene Product	No. of Isolates
	Chordin	novel secreted protein	70
	Goosecoid	homeobox gene	3
5	Pintailavis/XFKH-1	forkhead/transcription factor	2
	Xnot-2	homeobox gene	1
	Xiim-1	homeobox gene	1
	New Genes		
	Cerberus	novel secreted protein	11
10	PAPC	cadherin-like/transmembrane	2
	Frzb-1	novel secreted protein	1
	Sox-2	sry/transcription factor	1
	Fkh-like	forkhead/transcription factor	1

The most abundant dorsal-specific cDNA was chordin (chd), with 70 independent isolates. The second most abundant cDNA was isolated 11 times and named cerberus (after a mythological guardian dog with multiple heads). The cerberus cDNA encodes a putative secreted polypeptide of 270 amino acids, with an amino terminal hydrophobic signal sequence and a carboxy terminal cysteine-rich region (Fig. 1). Cerberus is expressed specifically in the head organizer region of the Xenopus embryo, including the future foregut.

An abundant mRNA found in the dorsal region of
the Xenopus gastrula encodes the novel putative secreted
protein we have designated as cerberus. Cerberus mRNA
has potent inducing activity in Xenopus embryos, leading
to the formation of ectopic heads. Unlike other
organizer-specific factors, cerberus does not dorsalize
mesoderm and is instead an inhibitor of trunk-tail
mesoderm. Cerberus is expressed in the anterior-most

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domain of the gastrula including the leading edge of the deep layer of the dorsal lip a region that, as shown here, gives rise to foregut and midgut endoderm. Cerberus promotes the formation of cement gland, olfactory placodes, cyclopic eyes, forebrain, and duplicated heart and liver (a foregut derivative). Because the pancreas is also derived from this foregut region, it is likely that cerberus induces pancreas in addition to liver. The expression pattern and inducing activities of cerberus suggest a role for a previously neglected region of the embryo, the prospective foregut endoderm, in the induction of the anterior head region of the embryo.

Turning to Fig. 1, Xenopus cerberus encodes a putative secreted protein transiently expressed during embryogenesis and the deduced amino acid sequence of Xenopus cerberus is shown. The signal peptide sequence and the nine cysteine residues in the carboxy-terminus are indicated in bold. Potential N-linked glycosylation sites are underlined. In database searches the cerberus protein showed limited similarity only to the mammalian Dan protein, a possible tumor suppressor proposed to be a DNA-binding protein.

cerberus appears to be a pioneer protein, as its amino acid sequence and the spacing of its 9 cysteine residues were not significantly similar to other proteins in the databases (NCBI-Gen Bank release 93.0). We conclude that the second most abundant dorsal-specific cDNA encodes a novel putative secreted factor, which should be the founding member of a novel family of growth factors active in cell differentiation.

<u>Cerberus Demarcates an Anterior Organizer</u>
<u>Domain</u>. Cerberus mRNA is expressed at low levels in the unfertilized egg, and zygotic transcripts start accumulating at early gastrula. Expression continues

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during gastrula and early neurula, rapidly declining during neurulation. Importantly, cerberus expression starts about one hour after that of chd, suggesting that cerberus could act downstream of the chd signal.

Whole-mount in situ hybridizations reveal that expression starts in the yolky endomesodermal cells located in the deep layer of the organizer. The cerberus domain includes the leading edge of the most anterior organizer cells and extends into the lateral mesoderm. The leading edge gives rise to liver, pancreas, and foregut in its midline, and the more lateral region gives rise to heart mesoderm at later stages of development.

Fig. 2 sets out the sequence of a full length Xenopus cDNA for cerberus.

This entirely new molecule has demonstrated physiological properties that should prove useful in therapeutic, diagnostic, and clinical applications that require regeneration, differentiation, or repair of tissues, such wound repair, neuronal regenerational or transplantation, supplementation of heart muscle differentiation, differentiation of pancreas and liver, and other applications in which cell differentiation processes are to be induced.

The second, novel, secreted protein we have discovered is called "frzb-1," which was shown to be a secreted protein in Xenopus oocyte microinjection experiments. Thus it provides a natural soluble form of the related extracellular domains of Drosophila and vertebrate frizzled proteins. We propose that the latter proteins could be converted into active soluble forms by introducing a stop codon before the first transmembrane domain. We have noted that the cysteinerich region of frzb-1 and frizzled contains some overall structural homology with Wnt proteins using the Profile

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Search homology program (Gribskov, Meth. Enzymol., 183, pp. 146-159, 1990). This had raised the interesting possibility that frzb-1 could interact directly with Wnt growth factors in the extracellular space. because we had found that when microinjected into embryos, frzb-1 constructs have moderate Xenopus dorsalizing activity, leading to the formation of embryos with enlarged brain and head, and shortened truck. Somatic muscle differentiation, which requires Xwnt-8, was inhibited. In the case of frzb-1, an attractive hypothesis, suggested by the structural homologies, was that it may act as an inhibitor of Wnt-8, a growth factor that has ventralizing activity in the Xenopus embryo (Christian and Moon, Genes Dev., 7, We have shown that frzb-1 can pp. 13-28, 1993). interact with Xwnt-8 and Wnt-1, and it is expected that it could also interact with other members of the Wnt family of growth factors, of which at least 15 members exist in mammals. In addition, a possible interaction with Wnts was suggested by the recent discovery that dishevelled, a gene acting downstream of wingless, has strong genetic interaction with frizzled mutants in Drosophila (Krasnow et al., Development, 121, pp. 4095-4102, 1995). This possibility has been explored in depth (Leyns et al., Cell, 88, pp. 747-756, March 21, 1997), because a soluble antagonist of the Wnt family of proteins is expected to be of great therapeutic value. Examples 1 and 2 illustrate tests that show antagonism of Xwnt-8 by binding to frzb-1.

Vertebrate homologues of Frizzled have been isolated and they too are anchored to the cell membrane by seven membrane spanning domains (Wang et al., J. Biol. Chem., 271, pp. 4468-4476, 1996). Frzb-1 differs from the frizzled proteins in that it is an entirely soluble, diffusible secreted protein and

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therefore suitable as a therapeutic agent. The nucleotide sequence that when expressed results in frzb-1 protein is illustrated by SEQ ID NO:4.

SEQ ID NO:4 corresponds to the Xenopus homolog, but by using it in BLAST searches (and by 5 cloning mouse frzb-1) we had been able to assemble the sequence of the entire mature human frzb-1 protein, SEQ ID NO:9. Indeed, human frzb-1 is encoded in six expressed sequence tags (ESTs) available in Genebank. 10 The human frzb-1 sequence can be assembled by overlapping in the 5' to 3' direction the ESTs with the following accession numbers in Genebank: R63748, W38677, W44760, H38379, and N71244. No function had yet been assigned to these EST sequences, but we 15 believe and thus propose here that human frzb-1 will have similar functions in cell differentiation to those described above for Xenopus frzb-1. The nucleotide sequence of human frzb-1 is shown in SEQ ID NO:10. mouse frzb-1 protein and nucleotide sequences are 20 provided by SEQ ID NOS:7 and 8, respectively.

In particular, we believe that frzb-1 will prove useful in gene therapy of human cancer cells. In this rapidly developing field, one approach is to introduce vectors expressing anti-sense sequences to block expression of dominant ocogenes and growth factor receptors. Another approach is to produce episomal vectors that will replicate in human cells in a controlled fashion without transforming the cells. For an example of the latter (an episomal expression vector system for human gene therapy), reference is made to U.S. Patent 5,624,820, issued April 29, 1997, inventor Cooper.

Gene therapy now includes uses of human tumor suppression genes. For example, U.S. Patent 5,491,064, issued February 13, 1996, discloses a tumor suppression

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gene localized on chromosome 11 and described as potentially useful for gene therapy in cancers deleted or altered in their expression of that gene. Frzb-1 maps to chromosome 2q31-33 and loss of one copy of the 2q31-33 and loss of one copy of the 2q arm has been observed with high incidence in lung carcinomas, colo-rectal carcinomas, and neuroblastomas, which has lead to the proposal that the 2q arm carries a tumor suppressor gene. We expect frzb to be a tumor suppressor gene, and thus to be useful in tumor suppression applications.

A number of applications for cerberus and frzb-1 are suggested from their pharmacological (biological activity) properties.

For example, the cerberus and frzb-1 cDNAs should be useful as a diagnostic tool (such as through use of antibodies in assays for proteins in cell lines or use of oligonucleotides as primers in a PCR test to amplify those with sequence similarities to the oligonucleotide primer, and to determine how much of the novel protein is present).

Cerberus, of course, might act upon its target cells via its own receptor. Cerberus, therefore, provides the key to isolate this receptor. Since many receptors mutate to cellular oncogenes, the cerberus receptor should prove useful as a diagnostic probe for certain tumor types. Thus, when one views cerberus as ligand in complexes, then complexes in accordance with the invention include antibody bound to cerberus, antibody bound to peptides derived from cerberus, cerberus bound to its receptor, or peptides derived from cerberus bound to its receptor or other factors. Mutant forms of cerberus, which are either more potent agonists or antagonists, are believed to be clinically useful.

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Such complexes of cerberus and its binding protein partners will find uses in a number of applications.

Practice of this invention includes use of an oligonucleotide construct comprising a sequence coding for cerberus or frzb-1 and for a promoter sequence operatively linked in a mammalian or a viral expression vector. Expression and cloning vectors contain a nucleotide sequence that enables the vector to replicate in one or more selected host cells. Generally, in cloning vectors this sequence is one that enables the replicate independently of the host chromosomes, and includes origins of replication or autonomously replicating sequences. The well-known plasmid pBR322 is suitable for most gram negative bacteria, the  $2\mu$  plasmid origin for yeast and various viral origins (SV40, polyoma, adenovirus, VSV or BPV) are useful for cloning vectors in mammalian cells.

Expression and cloning vectors should contain a selection gene, also termed a selectable marker. Typically, this is a gene that encodes a protein necessary for the survival or growth of a host cell transformed with the vector. The presence of this gene ensures that any host cell which deletes the vector will not obtain an advantage in growth or reproduction over transformed hosts. Typical selection genes encode proteins that (a) confer resistance to antibiotics or other toxins, e.g. ampicillin, neomycin, methotrexate or tetracycline, (b) complement auxotrophic deficiencies.

Examples of suitable selectable markers for mammalian cells are dihydrofolate reductase (DHFR) or thymidine kinase. Such markers enable the identification of cells which were competent to take up the cerberus nucleic acid. The mammalian cell transformants are placed under selection pressure which only the transformants are uniquely adapted to survive by virtue

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of having taken up the marker. Selection pressure is imposed by culturing the transformants under conditions in which the concentration of selection agent in the medium is successively changed. Amplification is the process by which genes in greater demand for the production of a protein critical for growth are reiterated in tandem within the chromosomes of successive generations of recombinant cells. Increased quantities of cerberus or frzb-1 can therefor be synthesized from the amplified DNA.

For example, cells transformed with the DHFR selection gene are first identified by culturing all of the transformants in a culture medium which contains methotrexate (Mtx), a competitive antagonist of DHFR. An appropriate host cell in this case is the Chinese 15 hamster ovary (CHO) cell line deficient in DHFR activity, prepared and propagated as described by Urlaub and Chasin, Proc. Nat. Acac. Sci., 77, 4216 (1980). transformed cells then are exposed to increased levels 20 of Mtx. This leads to the synthesis of multiple copies of the DHFR gene and, concomitantly, multiple copies of other DNA comprising the expression vectors, such as the DNA encoding cerberus or frzb-1. Alternatively, host cells transformed by an expression vector comprising DNA 25 sequences encoding cerberus or frzb-1 and aminoglycoside 3' phosphotransferase (APH) protein can be selected by cell growth in medium containing an aminoglycosidic antibiotic such as kanamycin or neomycin or G418. Because eukaryotic cells do not normally express an endogenous APH activity, genes encoding APH protein, 30 commonly referred to as neo resistant genes, may be used as dominant selectable markers in a wide range of eukaryotic host cells, by which cells transformed by the vector can readily be identified.

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Expression vectors, unlike cloning vectors, should contain a promoter which is recognized by the host organism and is operably linked to the cerberus nucleic acid. Promoters are untranslated sequences located upstream from the start codon of a structural gene (generally within about 100 to 1000 bp) that control the transcription and translation of nucleic acid under their control. They typically fall into two constitutive. classes, inducible and Inducible promoters are promoters that initiate increased levels of transcription from DNA under their control in response to some change in culture conditions, e.g. the presence or absence of a nutrient or a change in temperature. At this time a large number of promoters recognized by a variety of potential host cells are well These promoters can be operably linked to known. cerberus encoding DNA by removing them from their gene of origin by restriction enzyme digestion, followed by insertion 5' to the start codon for cerberus or frzb-1.

Nucleic acid is operably linked when it is placed into a functional relationship with another nucleic acid sequence. For example, DNA for a presequence or secretory leader is operably linked to DNA for a polypeptide if it is expressed as a preprotein which participates in the secretion of the polypeptide; a promoter or enhancer is operably linked to a coding sequence if it affects the transcription of sequence; or a ribosome binding site is operably linked to a coding sequence if it is positioned so as to facilitate translation. Generally, operably linked means that the DNA sequences being linked are contiguous and, in the case of a secretory leader, contiguous and in reading phase. Linking is accomplished by ligation at convenient restriction sites. If such sites do not

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exit then synthetic oligonucleotide adapters or linkers are used in accord with conventional practice.

Transcription of the protein-encoding DNA in mammalian host cells is controlled by promoters obtained from the genomes of viruses such as polyoma, cytomegalovirus, adenovirus, retroviruses, hepatitis-B virus, and most preferably Simian Virus 40 (SV40), or from heterologous mammalian promoters, e.g. the actin promoter. Of course, promoters from the host cell or related species also are useful herein.

Cerberus and frzb-1 are clearly useful as a component of culture media for use in culturing cells, such as endodermal, cardiac, and nerve cells, in vitro. We believe cerberus and frzb-1 will find uses as agents for enhancing the survival or inducing the growth of liver, pancreas, heart, and nerve cells, such as in tissue replacement therapy.

The final cDNA isolated containing a signal sequence results in a peptide designated Paraxial Protocadherin (PAPC). The cDNA for PAPC is a divergent member of the cadherin multigene family. PAPC is most related to protocadherin 43 reported by Sano et al., The EMBO J., 12, pp. 2249-2256, 1993. As shown in SEQ ID NO:5, the PAPC gene encodes a transmembrane protein of 896 amino acids, of which 187 are part of an intracellular domain. PAPC is a cell adhesion molecule, and microinjection of PAPC mRNA constructs into Xenopus suggest PAPC acts that in differentiation. The nucleotide sequence encoding Xenopus PAPC is provided in SEQ ID NO:6.

Therapeutic formulations of the novel proteins may be prepared for storage by mixing the polypeptides having the desired degree of purity with optional physiologically acceptable carriers, excipients or stabilizers, in the form of lyophilized cake or aqueous

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solutions. Acceptable carriers, excipients stabilizers are nontoxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate, and other organic acids; antioxidants including ascorbic acid; low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin or immunoglobulins. Other components can include glycine, blutamine, asparagine, arginine, or lysine; monosaccharides, disaccharides. and other carbohydrates including glucose, mannose, or dextrins; chelating agents such as EDTA; sugar alcohols such as mannitol or sorbitol; saltforming counterions such as sodium; and/or nonionic surfactants such as Tween, Pluronics or PEG.

Polyclonal antibodies to the novel proteins generally are raised in animals by multiple subcutaneous (sc) or intraperitoneal (ip) injections of cerberus or frzb-1 and an adjuvant. It may be useful to conjugate these proteins or a fragment containing the target amino acid sequence to a protein which is immunogenic in the immunized, keyhole species be e.q., limpet to hemocyanin, serum albumin, bovine thyroglobulin, or soybean trypsin inhibitor using a bifunctional or derivatizing agent, for example, maleimidobenzovl sulfosuccinimide ester (conjugation through cysteine residues), N-hydroxysuccinimide (through residues), glutaraldehyde, succinic anhydride, SOCl,, or  $R^1N = C = NR$ .

Animals can be immunized against the immunogenic conjugates or derivatives by combining 1 mg or 1 μq of conjugate (for rabbits or mice, respectively) with 3 volumes of Freund's complete adjuvant and injecting the solution intradermally in multiple sites. One month later the animals are boosted with 1/5 to 1/10 the original amount of conjugate in Fruend's complete 35

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adjuvant by subcutaneous injection at multiple sites. Seven to 14 days later animals are bled and the serum is assayed for anti-cerberus titer. Animals are boosted until the titer plateaus. Preferably, the animal is boosted with the conjugate of the same cerberus or frzb-l polypeptide, but conjugated to a different protein and/or through a different cross-linking agent. Conjugates also can be made in recombinant cell culture as protein fusions. Also, aggregating agents such as alum are used to enhance the immune response.

Monoclonal antibodies are prepared by recovering spleen cells from immunized animals and immortalizing the cells in conventional fashion, e.g. by fusion with myeloma cells or by EB virus transformation and screening for clones expressing the desired antibody.

Antibodies are useful in diagnostic assays for cerberus, frzb-1, or PAPC or their antibodies and to identify family members. In one embodiment of a receptor binding assay, an antibody composition which binds to all of a selected plurality of members of the cerberus family is immobilized on an insoluble matrix, the test sample is contacted with the immobilized antibody composition in order to adsorb all cerberus family members, and then the immobilized family members are contacted with a plurality of antibodies specific each member, each of the antibodies being individually identifiable as specific for a predetermined family member, as by unique labels such as discrete fluorophores or the like. By determining the presence and/or amount of each unique label, the relative proportion and amount of each family member can be determined.

The antibodies also are useful for the 35 affinity purification of the novel proteins from

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recombinant cell culture or natural sources. Antibodies that do not detectably cross-react with other growth factors can be used to purify the proteins free from these other family members.

#### EXAMPLE 1

#### Frzb-1 Antagonizes Xwnt-8 Non-Cell Autonomously

frzb-1 test whether can antagonize secondary axes caused by Xwnt-8 after secretion by injected cells, an experimental design was used. Thus, frzb-1 mRNA was injected into each of the four animal blastomeres of eight-cell embryos, and subsequently, a single injection of Xwnt-8 mRNA was given to a vegetalventral blastomere at the 16-32 cell stage. independent experiments, we found that injection of frzb-1 alone (n=13) caused mild dorsalization with enlargement of the cement gland in all embryos and that injection of Xwnt-8 alone (n=53) lead to induction of complete secondary axes in 67% of the embryos. However, injection of frzb-1 into animal caps abolished the formation of complete axes induced by Xwnt-8 (n=27), leaving only a residual 14% of embryos with very weak secondary axes. The double-injected embryos retained the enlarged cement gland phenotype caused by injection of frzb-1 mRNA alone. Because both mRNAs encode secreted proteins and were microinjected into different cells, we conclude that the antagonistic effects of frzb-1 and Xwnt-8 took place in the extracellular space after these proteins were secreted.

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#### EXAMPLE 2

#### Membrane-Anchored Wnt-1 Confers Frzb-1 Binding

To investigate a possible interaction between frzb-1 and Wnts, the first step was to insert an HA epitope tag into a Xenopus frzb-1 construct driven by the CMV (cytomegalovirus) promoter. Frzbl-HA was tested in mRNA microinjection assays in Xenopus embryos and found to be biologically active. Conditioned medium from transiently transfected cells contained up to  $10 \mu g/ml$  of Frzbl-HA (quantitated on Western blots using an HA-tagged protein standard).

Transient transfection of 293 cells has been instrumental in demonstrating interactions between wingless and frizzled proteins. We therefore took advantage of constructs in which Wnt-1 was fused at the amino terminus of CD8, generating a transmembrane protein containing biologically active Wnt-1 exposed to the extracellular compartment. A Wnt1CD8 cDNA construct (a generous gift of Dr. H. Varmus, NIH) was subcloned into the pcDNA (Invitrogen) vector and transfected into 293 cells. After incubation with Frzb1-HA-conditioned medium (overnight at 37°C), intensely labeled cells were observed by immunofluorescence. As a negative control, a construct containing 120 amino acids of Xenopus chordin, an unrelated secreted protein was used. Transfection of this construct produced background binding of Frzbl-HA to the extracellular matrix, both uniform and punctate. Cotransfection of Wnt1CD8 with showed that transfected cells pcDNA-Lacz positively for Frzb1-HA and Lac2. Since WntlCD8 contains the entire CD8 molecule, a CD8 cDNA was used as an additional negative control. After transfection with Lacz and full-length CE8, Frzbl-HA failed to bind to the transfected cells. Although most of our experiments

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were carried out at 37°C, Frzbl-HA-conditioned medium also stained WntlCD8-transfected cells after incubation at 4°C for 2 hours.

Attempts to biochemically quantitate the binding of Frzb-1 to Wnt1CD8-transfected cells were unsuccessful due to high background binding to control cultures, presumably due to binding to the extracellular matrix. Thus, we were unable to estimate a  $K_D$  for the affinity of the Frzb-1/Wnt-1 interaction. However, when serial dilutions of conditioned medium containing Frzb1-HA were performed (ranging from 2.5 x  $10^{-7}$  to 1.25 x  $10^{-10}$  M), staining of Wnt1CD8-transfected cells was found at all concentrations.

Although we have been unable to provide biochemical evidence for direct binding between Whats and frzb-1, this cell biological assay indicates that Frzb1-HA can bind, directly or indirectly, to What-1 on the cell membrane in the 10-10 M range.

It is to be understood that while the invention has been described above in conjunction with preferred specific embodiments, the description and examples are intended to illustrate and not limit the scope of the invention, which is defined by the scope of the appended claims.

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#### It is Claimed:

- 1. A substantially pure protein characterized by a physiologically active form and comprising an amino acid sequence encoded by the DNA of SEO ID NO:2.
- 2. The protein as in claim 1 having neurotrophic, growth or differentiation factor activity.
- 3. A composition comprising the protein of claim 1 and a physiologically acceptable carrier with which the peptide is admixed.
- 4. An oligonucleotide construct comprising a sequence coding for a protein and an expression vector operatively linked therewith, the protein having neurotrophic, growth or differentiation factor activity and being expressible from SEQ ID NO:2.
- 5. The construct as in claim 4 wherein the expression vector is a mammalian or viral expression vector.
- 6. A substantially pure protein characterized by a physiologically active form and comprising an amino acid sequence encoded by the DNA of SEQ ID NO:4, SEQ ID NO:8, or SEQ ID NO:10.
- 7. The protein as in claim 6 having neurotrophic, growth or differentiation factor activity.
- 8. A composition comprising the protein of claim 6 and a physiologically acceptable carrier with which the protein is admixed.

- 9. An oligonucleotide construct comprising a sequence coding for a protein and an expression vector operatively linked therewith, the protein being expressible from SEQ ID NO:4, SEQ ID NO:8 or SEQ ID NO:10.
  - 10. The construct as in claim 9 wherein the protein is expressible in soluble form.
  - 11. The construct as in claim 9 wherein the expression vector is a mammalian or viral expression vector.
  - 12. A complex comprising a substantially pure frzb-1 protein complexed with at least one Wnt protein.
  - 13. A substantially pure protein characterized by a physiologically active form and comprising an amino acid sequence encoded by the DNA of SEQ ID NO:6.
  - 14. The protein as in claim 13 having mesoderm differentiation activity.
  - 15. A composition comprising the protein of claim 13 and a physiologically acceptable carrier with which the protein is admixed.

ETTTI// ATTICT	TACTAUDGEG	VUSPCKEKIV	TISLNSRGIF	40
RKERGARRSK	ILLVNTKGLD	<b>EPHIGHGDFG</b>	LVAELFDSTR	80
THTNRKEPDM	NKVKLFSTVA	HG <u>NKS</u> ARRKA	Y <u>NGS</u> RRNIFS	120
RRSFDKRNTE	VTEKPGAKMF	WNNFLVKMNG	ap <u>onts</u> egsk	160
aqeimkeack	TLPFTQNIVH	ENCDRMVIQN	NLCFGKCISL	200
HVPNQQDRRN	TCSHCLPSKF	TLNHLTLNCT	GSKNVVKVVM	240
MVEECTCEAH	KSNFHQTAQF	NMDTSTTLHH		270

Figure 1

**SUBSTITUTE SHEET (RULE 26)** 

GAATTCCCAG	CAAGTCGCTC	AGAAACACTG	CAGGGTCTAG	ATATCATACA	ATGTTACTAA	60
CTTAAGGGTC	GTTCAGCGAG	TCTTTGTGAC	GTCCCAGATC	TATAGTATGT	TACAATGATT	
ATGTACTCAG	GATCTGTATT	ATCGTCTGCC	TTCTCAATCA	TEGRECAGEA	AAACACTCAG	120
	CTAGACATAA					
AAGGACGAGA	AAGGACAAAA	ACATATTCAC	TTARCAGCAG	AGGTTACTTC	AGAAAAGAAA	180
	TTCCTGTTTT					
	TAGGAGCAAG					240
CTCCTCGTGC	ATCCTCGTTC	TAAGACGACC	ACTTATGATT	TCCAGAACTA	CTTGGGGTGT	
	TGATTTTCGC					300
AACCCGTACC	ACTARARGCG	AATCATCGAC	TTGATAAACT	AAGGTGGTCT	TGTGTATGTT	
	GCCAGACATG					360
TGTCTTTTCT	CGGTCTGTAC	TTGTTTCAGT	TCGARAGAG	TTGTCAACGG	GTACCTTTGT	
	AAGAAAAGCT					420
TTTCACGTTC	TTCTTTTCGA	ATGTTACCAA	GATCTTCCTT	atararagga	GCGGCAAGAA	
	AAATACAGAG					480
AACTATTTTC	TTTATGTCTC	CAATGACTTT	TOGGACCACG	GTTCTACAAG	ACCTTGTTAA	
	AATGAATGGA					540
AAAACCAATT	TTACTTACCT	CEGEGTETET	TATGTTCGGT	ACCGTCATTT	CGTGTCCTTT	
	AGCTTGCAAA					600
ATTACTTTCT	TOGAROGITT	TGGAACAAAA	agtgagtctt	ATAACATGTA	CTTTTGACAC	
	GATACAGAAC					660
TGTCCTACCA	CTATGTCTTG	TTAGACACGA	AACCATTTAC	GTAGAGAGAG	GTACAAGGTT	
ATCAGCAAGA	TOGACGAAAT	ACTTGTTCCC	ATTGCTTGCC	GTCCAAATTT	ACCCTGAACC	720
TAGTCGTTCT	AGCTGCTTTA	TGAACAAGGG	TAACGAACGG	CAGGITTAAA	TGGGACTTGG	
ACCTGACGCT	GARTTGTACT	GGATCTAAGA	atgtagtaaa	GGTTGTCATG	ATGGTAGAGG	780
TGGACTGCGA	CTTAACATGA	CCTAGATTCT	·TACATCATTT	CCAACAGTAC	TACCATCTCC	
	TGAAGCTCAT					840
TTACGTGCAC	ACTTCGAGTA	TTCTCGTTGA	AGGTGGTTTG	ACGTGTCAAA	TTGTACCTAT	
CATCTACTAC	CCTGCACCAT	TANAGGACTG	CCATACAGTA	TGGAAATGCC	CTTTTGTTGG	900
GTAGATGATG	GGACGTGGTA	ATTTCCTGAC	GGTATGTCAT	ACCTTACGG	GAAAACAACC	
AATATTTGTT	ACATACTATG	CATCTAAAGO	ATTATGTTGC	CTTCTATTTC	ATATAACCAC	960
TTATAAACAA	TGTATGATAC	GTAGATTTCG	TANTACANCG	Gargataaag	TATATTGGTG	
	GATTGTATGA					1020
TACCTTATTO	CTAACATACT	TARTATTART	TGTTTACCGT	ARARCACATT	GTACGTTCTA	

## Figure 2A

SUBSTITUTE SHEET (RULE 28)

		 TGACTTTTT ACTGAAAAA	 1080
		TTAAGGGGTA AATTCCCCAT	1140
		AATCAGCAGG TTAGTCGTCC	 1200
		GGGTTACTGC CCCAATGACG	1260
		ATAAATTGTA TATTTAACAT	1320
tgttacaaaa Acaatgtttt			

Figure 2B

				,,,			•
AILAII	eqfeg	LLTTECSQDL	LFFLCAMYAP	ICTIDFQHEP	IKPCKSVCER	ARAGCEPILI	120
KYRHT	WPESL	ACEELPVYDR	GVCISPEAIV	TVEQGTDSMP	DFSMDSNNGN	CGSGREHCKC	186
KPMKA:	TQKTY	<b>LENNYNYVI</b> R	AKVKEVKVKC	HDATAIVEVK	eilksslvni	PKDTVTLYTN	240
SGCLC	PQLVA	NEEYIINGYE	DKERTRLLLV	EGSLAEKWRD	RLAKKVKRWD	QKLRRPRKSK	300
DPVAP:	IPNKN	SNSRQARS					

### Figure 3

GAATTCCCTT	TCACACAGGA	CTCCTGGCAG	AGGTGAATGG	TTAGCCCTAT	GGATTTGGTT	60
CTTAAGGGAA	AGTGTGTCCT	GAGGACCGTC	TCCACTTACC	AATCGGGATA	CCTAAACCAA	•
TGTTGATTTT	GACACATGAT	TGATTGCTTT	CAGATAGGAT	TGAAGGACTT	GGATTTTTAT	120
ACAACTAAAA	CTGTGTACTA	ACTAAOGAAA	GTCTATCCTA	ACTTCCTGAA	CCTARARATA	120
CTAATTCTGC	ACTITIANAT	TATCTGAGTA	ATTGTTCATT	TTGTATTGGA	TOCOLOTARA	180
GATTAAGACG	TGAAAATTTA	ATAGACTCAT	TAACAAGTAA	AACATAACCT	ACCCTGATTT	180
GATAAACTTA	ACTCCTTGCT	TTTGACTTGC	CCATABACTA	TARGETGGGG	TCACTTCTAC	240
CTATTTGAAT	TGAGGAACGA	AAACTGAACG	GGTATTTGAT	ATTCCACCCC	ACTCAACATC	240
TTGCTTTTAC	ATGTGCCCAG	ATTTTCCCTG	TATTCCCTGT	ATTCCCTCTA	AAGTAAGCCT	300
AACGAAAATG	TACACGGGTC	TARARGGGAC	ATAAGGGACA	TARGGGAGAT	TTCATTCGGA	300
ACACATACAG	GTTGGGCAGA	ATAACAATGT	CTCGAACAAG	GAAAGTGGAC	TCATTACTGC	360
TGTGTATGTC	CAACCCGTCT	TATTGTTACA	GAGCTTGTTC	CTTTCACCTG	AGTAATGACG	300
TACTGGCCAT	ACCTGGACTG	GCGCTTCTCT	TATTACCCAA	TGCTTACTGT	GCTTCGTGTG	420
Atgacceeta	TGGACCTGAC	CGCGAAGAGA	Ataatgggtt	ACGAATGACA	CGAAGCACAC	
AGCCTGTGCG	GATCCCCATG	TGCARATCTA	TGCCATGGAA	CATGACCAAG	ATGCCCAACC	480
TOGGACACGC	CTAGGGGTAC	ACCTTTAGAT	ACGGTACCTT	GTACTGGTTC	TACGGGTTGG	
ATCTCCACCA	CAGCACTCAA	GCCARTGCCA	TCCTGGCAAT	TGAACAGTTT	GAAGGTTTGC	540
TAGAGGTGGT	GTCGTGAGTT	CGGTTACGGT	AGGACCGTTA	actigicaaa	CTTCCAAACG	
TGACCACTGA	ATGTAGCCAG	GACCTTTTGT	TCTTTCTGTG	TGCCATGTAT	GCCCCCATTT	600
ACTGGTGACT	TACATCGGTC	CTGGAAAACA	AGAAAGACAC	ACGGTACATA	CGGGGTAAA	
GTACCATCGA	TTTCCAGCAT	GAACCAATTA	AGCCTTGCAA	GTCCGTGTGC	GAAAGGGCCA	660
CATGGTAGCT	AAAGGTOGTA	CTTGGTTAAT	TOGGAROGTT	CAGGCACACG	CTTTCCCGGT	
GCCCCCCCTC	TGAGCCCATT	CTCATALAGT	ACCGGCACAC	TTGGCCAGAG	AGCCTGGCAT	720
CCCGGCCGAC	ACTOGGGTAA	GAGTATTTCA	TEGCCETETE	AACCGGTCTC	TOGGACOGTA	
GTGAAGAGCT	GCCCGTATAT	GACAGAGGAG	TCTGCATCTC	CCCAGAGGCT	ATCGTCACAG	780
CACTTCTCGA	CGGGCATATA	CTGTCTCCTC	AGACGTAGAG	GGGTCTCCGA	TAGCAGTGTC	
TGGAACAAGG	<b>AACAGATTCA</b>	ATGCCAGACT	TCTCCATGGA	TTCARACAAT	GGAAATTGCG	840
ACCTIGITCC	TTGTCTAAGT	TACGGTCTGA	AGAGGTACCT	AAGTTTGTTA	CCTTTAACGC	
GAAGOGGCAG	GGAGCACTGT	AAATGCAAGC	CCATGAAGGC	AACCCAAAAG	ACGTATCTCA	900
CTTCGCCGTC	CCTCGTGACA	TTTACGTTCG	GGTACTTCCG	TTGGGTTTTC	TGCATAGAGT	
AGAATAATTA	Caattatgta	ATCAGAGCAA	<b>AAGTGAAAGA</b>	GGTGAAAGTG	AAATGCCACG	960
TCTTATTAAT	GTTAATACAT	TAGTCTCGTT	TTCACTTTCT	CCACTTTCAC	TTTACGGTGC	
ACGCAACAGC	<b>AATTGTGGAA</b>	GTANAGGAGA	TTCTCAAGTC	TTCCCTAGTG	AACATTOCTA	1020
TGCGTTGTCG	TTARCACCTT	CATTTCCTCT	AAGAGTTCAG	AAGGGATCAC	TTGTAAGGAT	

## Figure 4A

SUBSTITUTE SHEET (RULE 26)

AAGACACAGT GACACTGIAC ACCAACTCAG GCTGCTTGTG CCCCCAGCTT GTTGCCAATG TTCTGTGTCA CTGTGACATG TGGTTGAGTC CGACGAACAC GGGGGTCGAA CAACGGTTAC	1080
THE TOTAL CHARGE TOUTHOUSE CONCERNACION CONC	
AGGNATACAT AATTATGGGC TATGAAGACA AAGAGCGTAC CAGGCTTCTA CTAGTGGAAG	1140
TOCTTATGTA TTAATACCCG ATACTTCTGT TTCTCGCATG GTCCGAAGAT GATCACCTTC	
GATCCTTGGC CGAAAAATGG AGAGATCGTC TTGCTAAGAA AGTCAAGCGC TGGGATCAAA	1200
CTAGGAACCG GCTTTTTACC TCTCTAGCAG AACGATTCTT TCAGTTCGCG ACCCTAGTTT	
AGCTTCGACG TCCCAGGAAA AGCAAAGACC CCGTGGCTCC AATTCCCAAC AAAAACAGCA	1260
TOGARGOTGC AGGGTCCTTT TOGTTTCTGG GGCACOGAGG TTANGGGTTG TTTTTGTCGT	
ATTCCAGACA AGCGCGTAGT TAGACTAACG GAAAGGTGTA TGGAAACTCT ATGGACTTTG	1320
TAAGGTCTGT TCGCGCATCA ATCTGATTGC CTTTCCACAT ACCTTTGAGA TACCTGAAAC	
AAACTAAGAT TTGCATTGTT GGAAGAGCAA AAAAGAAATT GCACTACAGC ACGTTATATT	1380
TTTGATTCTA AACGTAACAA CCTTCTCGTT TTTTCTTTAA CGTGATGTCG TGCAATATAA	•
CTATTGTTTA CTACAAGAAG CTGGTTTAGT TGATTGTAGT TCTCCTTTCC TTCTTTTTT	1440
GATAACAART GATGTTCTTC GACCARATCA ACTAACATCA AGAGGARAGG AAGAAAAAAA	
TTATAACTAT ATTTGCACGT GTTCCCAGGC AATTGTTTTA TTCAACTTCC AGTGACAGAG	1500
ARTATIGATA TANACGIGCA CARGGGICCG TIANCARRAT ARGITGRAGG TCACTGTCTC	
CAGTGACTGA ATGTCTCAGC CTAAAGAAGC TCAATTCATT TCTGATCAAC TAATGGTGAC	1560
GTCACTGACT TACAGAGTCG GATTTCTTCG AGTTAAGTAA AGACTAGTTG ATTACCACTG	
AAGTGTTTGA TACTTGGGGA AAGTGAACTA ATTGCAATGG TAAATCAGAG AAAAGTTGAC	1620
TTCACARACT ATGAACCOCT TTCACTTGAT TARCGTTACC ATTTAGTCTC TTTTCAACTG	
CARTGITGCT TITCCIGIAG AIGAACAAGI GAGAGAICAC AITIAAAIGA IGAICACITI	1680
GTTACAACGA AAAGGACATC TACTTGTTCA CTCTCTAGTG TAAATTTACT ACTAGTGAAA	
CCATTIANIA CITTCAGCAG TITTAGITAG ATGACATGTA GGATGCACCI AAATCTAAAT	1740
GGTARATTAT GRANGTOGTC ANARTCARTC TACTGTACAT CCTACGTGGA TTTAGATTTA	
ATTITATEAT ANATGAAGAG ETGGTTTAGA ETGTATGGTC ACTGTTGGGA AGGTAAATGE	1800
TARANTAGEA TETRCETCEC GACCARATCE GACATACCAG EGACAACCCE TOCATETACG	
CTACTTTGTC AATTCTGTTT TAAAAATTGC CTAAATAAAT ATTAAGTCCT AAATAAAAA	1860
GATGAAACAG TTAAGACAAA ATTTTTAACG GATTTATTTA TAATTCAGGA TTTATTTTT	
ARRA ARRA ARRACAAA	

TITITITITI TITI

SUBSTITUTE SHEET (RULE 26)

MIJ	LIFRALPM	ITTGIWATGI	DCEIAGIIID	EEEPPGTVIA	VLSQHSIFNT	TDIPATNFRL	60
MKÇ	ofnnslig	VRESDGQLSI	MERIDREQIC	RQSLHCNLAL	DVVSFSKGHF	KLLNVKVEVR	120
DIN	despher	SEIMHVEVSE	SSSVGTRIPL	EIAIDEDVGS	nsiqnfqish	nshfsidvlt	180
RAL	gvky <b>a</b> dl	VIMREIDREI	<b>QPTYIMELLA</b>	MDGGVPSLSG	TAVVNIRVLD	Fndnspvper	240
STI	LAVDLVED	aplgylllel	HATDDDEGVN	GEIVYGFSTL	asqevrqlfk	INSRTGSVTL	300
EG(	OVDFETKQ	TYEFEVQAQD	LGPNPLTATC	KVTVHILDVN	DNTPAITITP	LTTVNAGVAY	360
IPE	ETATKENF	IALISTTDRA	SGSNGQVRCT	LYGHEHFKLQ	QAYEDSYMIV	<b>TTSTL</b> DR <b>E</b> NI	420
AAS	(SLTVVAE	DLGFPSLKTK	KYYTVKVSDE	ndnapveskp	QYEASILENN	<b>APGSYITTV</b> I	480
ARI	DSDSDQNG	KVNYRLVDAK	VMGQSLTTFV	SLDADSGVLR	avrsløyekl	KQLDFEIEAA	540
DNO	Sipolstr	VQLNLRIVDQ	NDNCPVITNP	LLNNGSGEVL	LPISAPONYL	VFQLKAEDSD	600
EGI	HNSQLFYT	ILRDPSRLFA	INKESGEVFL	KKQLNSDHSE	DLSIVVAVYD	LGRPSLSTNA	660
TVI	KFILTDSF	PSNVEVVILQ	PSAEEQHQID	MSIIFIAVLA	GGCALLLLAI	FFVACTCKKK	720
AGI	efkqvpeq	HGTCNEERLL	STPSPQSVSS	SLSQSESCQL	SINTEȘENCS	VSSNQEQHQQ	780
TG:	ikhsisvp	Syntsguhld	ncansisges	HMGHISTKVQ	WAKEIVTSMT	VTLILVENOK	840
RR	alssocrh	KPVLNTQMNQ	QGSDMPITIS	ATESTRVQKM	GTAHCNMKRA	IDCLTL	

## Figure 5 SUBSTITUTE SHEET (HULE 26)

GAATTCCCAG	AGATGAACTC	CTTGAGATTG	TTTTAAATGA	CTGCAGGTCT	GGAAGGATTC	60
CTTAAGGGTC	TCTACTTGAG	GAACTCTAAC	AAAATTTACT	GACGTCCAGA	CCTTCCTAAG	•
ACATTGCCAC	ACTGTTTCTA	GGCATGAAAA	AACTGCAAGT	TTCARCTTTC	THE THE PARTY OF T	120
TGTAACGGTG	TGACAAAGAT	CCGTACTTTT	TTGACGTTCA	AAGTTGAAAC	AAAAACCACG	120
<b>AACTTTGATT</b>	CTTCAAGATG	CTGCTTCTCT	TCAGAGCCAT	TOCANTGOTG	CTCTTCCCAC	180
TTGAAACTAA	GAAGTTCTAC	GACGAAGAGA	AGTCTCGGTA	AGGTTACGAC	GACAACCCTG	100
TGATGGTTTT	ACAAACAGAC	TGTGAAATTG	CCCAGTACTA	CATAGATGAA	GAAGAACCC	240
ACTACCAAAA	TGTTTGTCTG	ACACTITAAC	GGGTCATGAT	GTATCTACTT	CTTCTTGGGĠ	210
CTGGCACTGT	AATTGCAGTG	TTGTCACAAC	ACTCCATATT	TAACACTACA	GATATACCTG	300
GACCGTGACA	TTAACGTCAC	AACAGTGTTG	TGAGGTATAA	ATTGTGATGT	CTATATGGAC	
CAACCAATTT	CCGTCTAATG	AAGCAATTTA	ATAATTCCCT	TATCGGAGTC	CGTGAGAGTG	360
GTTGGTTAAA	GGCAGATTAC	TTCGTTAAAT	TATTAAGGGA	ATAGCCTCAG	GCACTCTCAC	
ATGGGCAGCT	GAGCATCATG	GAGAGGATTG	ACCGGGAGCA	AATCTGCAGG	CAGTCCCTTC	420
TACCCGTCGA	CTCGTAGTAC	CTCTCCTAAC	TGGCCCTCGT	TTAGACGTCC	GTCAGGGAAG	
ACTGCAACCT	GGCTTTGGAT	GTGGTCAGCT	TTTCCAAAGG	ACACTTCAAG	CTTCTGAACG	480
	CCGARACCTA					
tgaaagtgga	GGTGAGAGAC	ATTAATGACC	ATAGCCCTCA	CTTTCCCAGT	GAAATAATGC	540
ACTITCACCT	CCACTCTCTG	TAATTACTGG	TATCGGGAGT	GAAAGGGTCA	CTTTATTACG	
atgtggaggt	GTCTGAAAGT	TCCTCTGTGG	GCACCAGGAT	TCCTTTAGAA	ATTGCARTAG	600
	CAGACTTTCA					
atgaagatgt	TGGGTCCAAC	TCCATCCAGA	ACTITCAGAT	CTCAAATAAT	AGCCACTTCA	660
•	ACCCAGGTTG					
GCATTGATGT	GCTAACCAGA	GCAGATGGGG	TGAAATATGC	AGATTTAGTC	TTAATGAGAG	720
•	CGATTGGTCT					
AACTGGACAG	GGAAATCCAG	CCAACATACA	TAATGGAGCT	ACTAGCAATG	GATGGGGGTG	780
TIGACCIGIC	CCTTTAGGTC	GGTTGTATGT	ATTACCTCGA	TGATCGTTAC	CTACCCCCAC	
TACCATCACT	ATCTGGTACT	GCAGTGGTTA	ACATOOGAGT	CCTGGACTTT	ARTGATANCA	840
	TAGACCATGA					
GCCCAGTGTT	TGAGAGAAGC	ACCATTGCTG	TGGACCTAGT	AGAGGATGCT	CCTCTGGGAT	900
CGGGTCACAA	ACTCTCTTCG	TGGTAACGAC	ACCTGGATCA	TCTCCTACGA	GGAGACCCTA	
ACCITITETT	GGAGTTACAT	GCTACTGACG	ATGATGAAGG	AGTGAATGGA	GAAATTGTTT	960
TGGAAAACAA	CCTCAATGTA	CGATGACTCC	TACTACTTCC	TCACTTACCT	CTTTAACAAA	<b>-</b>
ATGGATTCAG	CACTTTGGCA	TCTCAAGAGG	TACGTCAGCT	ATTTAAAATT	AACTCCAGAA	1020
TACCTAAGTC	GTGAAACCGT	AGAGTTCTCC	<b>ATGCAGTCGA</b>	TAAATTTTAA	TTGAGGTCTT	

## Figure 6A SUBSTITUTE SHEET (RULE 26)

		GGCCAAGTTG CCGGTTCAAC			1080
		GGCCCCAACC CCGGGGTTGG			1140
		AATACCCCAG TTATGGGGTC	 		1200
		CCAGAAACAG GGTCTTTGTC	 		1260
		GGATCTAATG CCTAGATTAC	 		1320
		GCTTATGAGG CGAATACTCC	 		1380
		GCGTACTCTT CGCATGAGAA			1440
		TACTACACAG ATGATGTGTC			1500
		TATGAAGCTT ATACTTCGAA	 		1560
	-	AGAGACTCTG TCTCTGAGAC	 		1620
		ATGGGCCAGT TACCCGGTCA			1680
		GTTAGGTCTT CAATCCAGAA	 		1740
		AATGGGATCC TTACCCTAGG			1800
- <del>-</del>		GATARITGCC CTATTAACGG	 		1860
		CCCATCAGCG			1920
		GGGCACAACT	 *		1980
		AACAAAGAAA TTGTTTCTTT	 	AAACAATTAA TTTGTTAATT	2040
				GGAAGACCTT CCTTCTGGAA	2100
		_	 	TCTAACGTTG AGATTGCAAC	2160

# Figure 6B SUBSTITUTE SHEET (RULE 26)

ARGTOGITAT TITGCAACCA TICAGCAATA AAACGITGGT					2220
TCATTGCAGT GCTGGCTGGT AGTAACGTCA CGACCGACCA					2280
GTACTTGTAA AAAGAAAGCT CATGAACATT TTTCTTTCGA	CCACTTARAT	TOGTCCATGG	ACTTGTTGTG	CCTTGTACGT	2340
ATGAAGAACG CCTGTTAAGC TACTTCTTGC GGACAATTCG	TGGGGTAGAG	GGGTCAGCCA	GAGAAGAAGA	AACAGAGTCA	2400
CTGAGTCATG CCAACTCTCC GACTCAGTAC GGTTGAGAGG	ATCAATACTG TAGTTATGAC	AATCTGAGAA TTAGACTCTT	TTGCAGCGTG AACGTCGCAC	TCCTCTAACC AGGAGATTGG	2460
AAGAGCAGCA TCAGCAAACA TTCTCGTCGT AGTCGTTTGT	GGCATAAAGC CCGTATTTCG	ACTCCATCTC TGAGGTAGAG	TGTACCATCT ACATGGTAGA	TATCACACAT ATAGTGTGTA	2520
CTGGTTGGCA CCTGGACAAT GACCAACCGT GGACCTGTTA					2580
TTAGTACAAA GGTACAGTGG AATCATGTTT CCATGTCACC					2640
TAGTGGAGAA TCAGAAAAGA ATCACCTCTT AGTCTTTTCT					2700
ATACACAGAT GAATCAGCAG TATGTGTCTA CTTAGTCGTC					2760
CAAGGGTCCA GAAAATGGGA GTTCCCAGGT CTTTTACCCT	actgcacatt tgacgtgtaa	GCAATATGAA CGTTATACTT	AAGGGCTATA TTCCCGATAT	GACTGTCTTA CTGACAGAAT	2820
CTCTGTAGCT CCTGTATATT GAGACATCGA GGACATATAA					2880
GAACCAIACC CTIAGAGACC CTIGGIATGG GAATCTCIGG	CTTATTACCA GAATAATGGT	TATCARTART ATAGTTATTA	CCTGTTGCTA GGACAACGAT	ATCGGATGCA TAGCCTACGT	2940
GGCGGAATAT GAAAGAGATT CCGCCTTATA CTTTCTCTAA					3000
TAGCAGATAC CAAGAATTCA ATCGTCTATG GTTCTTAAGT	ATTACAGTCC TAATGTCAGG	GCAGATATCA CGTCTATAGT	AGACAGCTTC TCTGTCGAAG	atccttcaga taggaagtct	3060
AAITGCTACA ACCITTIAAI TTAACGATGT TGGAAAATTI					3120
TAGCATGAAA GCTAAATATA ATCGTACTTT CGATTTATAT	ACCTCAGAGG	GGAAAGGGAG	ACTACCTACC	CCCTCTGTG	3180
AGGACAGTGC ATAAATATA TCCTGTČACG TATTTATATO	C AGCTGCTTTC C TCGACGAAAG	TATTTGCATT TARACGTAR	TCACTTGGGA AGTGAACCCT	ATTITTTGTT	3240
TTTTTTACAT ATTTATTTT AAAAAATGTA TAAATAAAA					3300

### Figure 6C

SUBSTITUTE SHEET (RULE 26)

ATTAAATCCA TAATTTAGGT	- : : : : : : : : : : : : : : : : : : :	 			3360
GACCTARAGT CTGGATTTCA					3420
CCCTGGTCAA GGGACCAGTT					3480
GTGATTTACA CACTAAATGT		 			3540
TTATATACAC AATATATGTG				-	3600
AGTGCAGACC TCACGTCTGG			TCAATAAATA AGTTATTTAT		

				Sumicht Aut	I DOLODE HILL	the familia	00
TQANA I	LAME	<b>QFEGLLGTHC</b>	SPDLLFFLCA	MYAPICTIDF	QHEPIKPCKS	VCERARQGCE	120
PILIKY	rhsw	PESLACDELP	VYDRGVCISP	EAIVTADGAD	FPMDSSTGHC	RGASSERCKC	180
KPVRAT	<b>QKTY</b>	FRNNYNYVIR	AKVKEVKMKC	HDVTAVVEVK	EILKASLVNI	PRDTVNLYTT	240
SGCLCI	PLTV	NEBYVIMGYE	DEERSRLLLV	EGSIAEKWKD	RLGKKVKRWD	MKLRHLGLGK	300
TDASDS	QNQTE	KSGRNSNPRP	ARS.				

Figure 7
SUBSTITUTE SHEET (RULE 26)

AAGCCTGGGA	CCATGGTCTG	CTGCGGCCCG	GGACGGATGC	TGCTAGGATG	GGCCGGGTTG	60
TTCGGACCCT	GGTACCAGAC	GACGCCGGGC	CCTGCCTACG	ACGATCCTAC	CCGGCCCAAC	
CTAGTCCTGG	CIGCICICIG	CCTGCTCCAG	GTGCCCGGAG	CTCAGGCTGC	AGCCTGTGAG	120
	GACGAGAGAC				•	
	TCCCGCTGTG					180
GGACAGGCGT	AGGGCGACAC	GTTCAGGGAA	GGGACCTTGT	ACTGGTTCTA	CGGGTTGGTG	
	GCACCCAGGC					240
	CCTGGGTCCG					
	GCAGCCCGGA					300
	CCTCGGCCT					
	TCCAGCACGA					360
	AGGTCGTGCT					
	AGCCCATTCT					420
	TCGGGTAAGA					
	CGGTGTACGA					480
	GCCACATGCT	•				
	ATTITCCTAT					540
	TAAAAGGATA					500
	GTAAGCCTGT		-,			600
	CATTCGGACA					660
	CCCGATTTCA					000
	AGGAAATTCT					720
	TCCTTTAAGA					120
CACCI CACI	icciiimun	IIICCGIAGI	GACCALLIGI	AMSGIICCCI	GIGGCAGIIA	
CTTTATACCA	CCTCTGGCTG	CCTCTCTCCT	CCACTTACTG	TCAATGAGGA	ATATGTCATC	780
	GGAGACCGAC					
ATGGGCTATG	AAGACGAGGA	ACGITCCAGG	TTACTCTTGG	TAGAAGGCTC	TATACCTGAG	840
	TTCTGCTCCT					
	ATCGGCTTGG					900
	TAGCCGAACC					
					CAGGAACTCT	960
CCTGACCCAT	TTTGACTACC	ATCCCTAACC	TGAGTCTTAG	TCTTCAGACC	GTCCTTGAGA	

# Figure 8A SUBSTITUTE SHEET (RULE 26)

AATCCCCGGC	CAGCACGCAG	CTAAATCCTG	AAATGTAAAA	GGCCACACCC	ACGGACTCCC	1020
TTAGGGGCCG	GTCGTGCGTC	GATTTAGGAC	TTTACATTTT	CCGGTGTGGG	TGCCTGAGGG	
	GCCCCTGGTG					1080
AAGATTCTGA	CCGCGACCAC	CTGATTGTTT	CCTTTTGGCG	TGTCAACACG	AGCACTGGCT	
	CAGACACCGC					1140
AACAAATGGC	GTCTGTGGCG	CACCGATGGC	TTCAATGAAG	GCCAGGGGAA	AGAGGACGAA	
	TGGGGTTAGA					1200
GAATTACCGC	ACCCCAATCT	AGGAAATTAT	ACAATATATA	AGACAAAGTA	GTTAGTGCAC	
0003 000000	TTTTGCAACC	1011m10m11	3.0003.3.303.000	mmca mccma a	COMPROMONA	1050
	AAAACGTTGG					1260
CCCTGACAAG	AAAACGTTGG	TCTTATCATT	TAATTTATAC	AACTACGATT	CCAAAGACAT	
CALCON CALCAC	TGGGTTTAAT	יויאומלילאלילאני	CTACCTCAT	TCACAATCCA	y declarated y dec	1320
	ACCCAAATTA					1320
GACCIGAGGG	ACCUMILIA	MICCHCMION	Chiconcin	ACICIIACG1	Inchmanc	
TAAAGAGAGA	ATCCTGGTCA	TATCTCAAGA	ACTAGATATT	GCTGTAAGAC	AGCCTCTGCT	1380
	TAGGACCAGT					
GCTGCGCTTA	TAGTCTTGTG	TTTGTATGCC	TTTGTCCATT	TCCCTCATGC	TGTGAAAGTT	1440
CGACGCGAAT	ATCAGAACAC	AAACATACGG	AAACAGGTAA	AGGGAGTACG	ACACTTTCAA	
ATACATGTTT	ATAAAGGTAG	AACGGCATTT	TGAAATCAGA	CACTGCACAA	GCAGAGTAGC	1500
TATGTACAAA	TATTTCCATC	TTGCCGTAAA	ACTITAGICT	GTGACGTGTT	CGTCTCATCG	
CCAACACCAG	GAAGCATTTA	TGAGGAAACG	CCACACAGCA	TGACTTATTT	TCAAGATTGG	1560
GGTTGTGGTC	CTTCGTAAAT	ACTCCTTTGC	GGTGTGTCGT	ACTGAATAAA	AGTTCTAACC	
			•		GGTTAAGGGG	1620
CTCCCTCCTT	TTATTTATCA	CAACCCTCGG	TICITITET	ATAAAACGGA	CCAATTCCCC	
ar ar araar r	man amn acas				AAGTTTTTGA	1680
						1000
GIGIGACCII	AGICATOGG	MACTOSSTAR	TIGICGICAC	MAGMAGACCG	TTCAAAAACT	
र्गनावरित्यवार्र <b>ः प्र</b> थाः	ביאויים ביינוים ב	CCACCATTO	: AGATY2AA	ATAACTAGAC	ATCTGTTGTT	1740
					TAGACAACAA	
- ELECTROAN						
ATCTCTATAC	CYCTGCTTCC	TTCTAAATC	AACCCATTGT	TGGATGCTCC	CTCTCCATTC	1800
					GAGAGGTAAG	

# Figure 8B SUBSTITUTE SHEET (RULE 26)

ATAAATAAAT TTGGCTTGCT TATTTATTTA AACCGAACGA	<del>-</del>	 	 1860
GTGCACCAGG GTGTTATTTA CACGTGGTCC CACAATAAAT			 1920
ACACGGAAAT GTGCACATTT TGTGCCTTTA CACGTGTAAA			 1980
TGGTTTTTGG TGTGTTTATC			 2040
TTCAAGTIGA ACTAGATTAG AAGTTCAACT TGATCTAATG			2100
TTGTGTTGTT TAATGCTCC	•		2160
CGACAACAAC AACAAA GCTGTTGTTG TTGTTT			

Figure 8C SUBSTITUTE SHEET (RULE 26)

avcgspggml	LLRAGLLALA	ALCLLRVPGA	RAAACEPVRI	PLCKSLPWNM	TRMPNHLHHS	60
<b>IQANAILAI</b> E	QPEGLLGTHC	SPDLLFFLCA	MYAPICTIDF	QHEPIKPCKS	VCERARQGCE	120
PILIKYRHSW	PENLACEELP	VYDRGVCISP	EAIVTADGAD	FPMDSSNGNC	RGASSERCKC	180
KPIRATQKTY	FRNNYNYVIR	AKVKBIKTKC	HDVTAVVEVK	KILKSSLVNI	PRDTVNLYTS	240
SGCLCPPLNV	NEEYIIMGYE	DEERSRLLLV	EGSIAEKWKD	RLGKKVKRWD	MKLRHLGLSK	300
SDSSNSDSTO	SOKSGRNSNP	ROARN.				

Figure 9 SUBSTITUTE SHEET (RULE 26)

GGCGGAGCGG	GCCTTTTGGC	GTCCACTGCG	CGGCTGCACC	CTGCCCCATC	TGCCGGGATC	60
CCGCCTCGCC	CGGAAAACCG	CAGGTGACGC	GCCGACGTGG	GACGGGGTAG	ACGGCCCTAG	
ATGGTCTGCG	GCAGCCCGGG	AGGGATGCTG	CTGCTGCGGG	CCGGGCTGCT	TGCCCTGGCT	120
TACCAGACGC	CGTCGGGCCC	TCCCTACGAC	GACGACGCCC	GGCCCGACGA	ACGGGACCGA	
GCTCTCTGCC	TGCTCCGGGT	GCCCGGGGCT	CGGGCTGCAG	CCTGTGAGCC	CGTCCGCATC	180
CGAGAGACGG	ACGAGGCCCA	CGGGCCCCGA	GCCCGACGTC	GGACACTCGG	GCAGGCGTAG	
CCCCTGTGCA	AGTCCCTGCC	CTGGAACATG	ACTAAGATGC	ССААССАССТ	GCACCACACC	240
GGGGACACGT	TCAGGGACGG	GACCTTGTAC	TGATTCTACG	GGTTGGTGGA	CGTGGTGTCG	240
ACTCAGGCCA	ACGCCATCCT	GGCCATCGAG	CAGTTCGAAG	GTCTGCTGGG	CACCCACTGC	300
TGAGTCCGGT	TGCGGTAGGA	CCGGTAGCTC	GTCAAGCTTC	CAGACGACCC	GTGGGTGACG	
AGCCCCGATC	TGCTCTTCTT	CCTCTGTGCC	ATGTACGCGC	CCATCTGCAC	CATTGACTTC	360
TCGGGGCTAG	ACGAGAAGAA	GGAGACACGG	TACATGCGCG	GGTAGACGTG	GTAACTGAAG	
CAGCACGAGC	CCATCAAGCC	CTGTAAGTCT	GTGTGCGAGC	GGGCCCGGCA	GGGCTGTGAG	420
GTCGTGCTCG	GGTAGTTCGG	GACATTCAGA	CACACGCTCG	CCCGGGCCGT	CCCGACACTC	
CCCATACTCA	TCAAGTACCG	CCACTCGTGG	CCGGAGAACC	TGGCCTGCGA	GGAGCTGCCA	480
GGGTATGAGT	AGTTCATGGC	GGTGAGCACC	GCCTCTTGG	ACCGGACGCT	CCTCGACGGT	
GTGTACGACA	GGGGCGTGTG	CATCTCTCCC	GAGGCCATCG	TTACTGCGGA	CGGAGCTGAT	540
CACATGCTGT	CCCCGCACAC	GTAGAGAGGG	CTCCGGTAGC	AATGACGCCT	GCCTCGACTA	
TTTCCTATGG	ATTCTAGTAA	CGGAAACTGT	AGAGGGGCAA	GCAGTGAACG	CTGTAAATGT	600
AAAGGATACC	TAAGATCATT	GCCTTTGACA	TCTCCCCGTT	CGTCACTTGC	GACATTTACA	
AAGCCTATTA	GAGCTACACA	GAAGACCTAT	TTCCGGAACA	ATTACAACTA	TGTCATTCGG	660
TTCGGATAAT	CTCGATGTGT	CTTCTGGATA	AAGGCCTTGT	TAATGTTGAT	ACAGTAAGCC	
GCTAAAGTTA	AAGAGATAAA	GACTAAGTGC	CATGATGTGA	CTGCAGTAGT	GGAGGTGAAG	720
CGATTTCAAT	TTCTCTATTT	CTGATTCACG	GTACTACACT	GACGTCATCA	CCTCCACTTC	
GAGATTCTAA	AGTCCTCTCT	GGTAAACATT	CCACGGGACA	CTGTCAACCT	CTATACCAGC	780
CTCTAAGATT	TCAGGAGAGA	CCATTTGTAA	GGTGCCCTGT	GACAGTTGGA	GATATGGTCG	
					GGGCTATGAA	840
AGACCGACGG	AGACGGGAGG	TGAATTACAA	TTACTCCTTA	TATAGTAGTA	CCCGATACTT	

#### Figure 10A SUBSTITUTE SHEET (RULE 26)

#### ,18/18

GATGAGGAAC	GTTCCAGATT	ACTCTTGGTG	GAAGGCTCTA	TAGCTGAGAA	GTGGAAGGAT	900
CTACTCCTTG	CAAGGTCTAA	TGAGAACCAC	CTTCCGAGAT	ATCGACTCTT	CACCTTCCTA	200
CGACTCGGTA	AAAAAGTTAA	GCGCTGGGAT	ATGAAGCTTC	GTCATCTTGG	АСТСАСТВВ	960
GCTGAGCCAT	TTTTTCAATT	CGCGACCCTA	TACTTCGAAG	CAGTAGAACC	TGAGTCATTT	300
AGTGATTCTA	GCAATAGTGA	TTCCACTCAG	AGTCAGAAGT	CTGGCAGGAA	CTCGAACCCC	1020
TCACTAAGAT	CGTTATCACT	AAGGTGAGTC	TCAGTCTTCA	GACCGTCCTT	GAGCTTGGGG	1020
CGGCAAGCAC	GCAACTAAAT	CCCGAAATAC	AAAAAGTAAC	ACAGTGGACT	TCCTATTAAG	1080
GCCGTTCGTG						
ACTTACTTGC	ATTGCTGGAC	TAGCAAAGGA	AAATTGCACT	ATTGCACATC	ATATTCTATT	1140
TGAATGAACG						
GTTTACTATA	AAAATCATGT	GATAACTGAT	TATTACTTCT	GITTCTCTTT	TGGTTTCTGC	1200
CAAATGATAT					•	
TTCTCTCTTC						1260
AAGAGAGAAG						
GTTTTCTATT						1320
CAAAAGATAA						
TGCTGTTACC						1380
ACGACAATGG						
TTGGGAATGC						1440
AACCCTTACG						
CCTTAAAACA						1500
GGAATTTTGT						4 7 4 4
CTCCTCATGC GAGGAGTACG						1560
		•				
TGTCACATAG ACAGTGTATC						1620
TGAATTATTT					·	1600
ACTTAATAAA						1680
					TAGCATTCTT	1740
					ATCGTAAGAA	
					GAAATGAATT	1800
					CTTTACTTAA	
ATAACTAGAC	ATCTGCTGTT	ATCACCATAG	TTTTGTTTAA	TTTGCTTCCT	AAATAAATTT	1860
				AAACGAAGGA	AAATTTATT	
		AAAAAAAA		•		
GOGIANCUAC	TTTCAGTTTT	TTTTTTTTT	TTT			

## Figure 10B SUBSTITUTE SHEET (RULE 26)

#### INTERNATIONAL SEARCH REPORT

Form PCT/ISA/210 (second sheet)(July 1992)★

International application No. PCT/US97/10942

A. CLASSIFICATION OF SUBJECT MATTER  IPC(6) :Please See Extra Sheet.  US CL : 530/300, 350; 514/2; 536/23.1  According to International Patent Classification (IPC) or to both national classification and IPC							
According to International Patent Classification (IPC) or to both national classification and IPC  B. FIELDS SEARCHED  Ainimum documentation searched (classification system followed by classification symbols)							
. <del> </del>	ed by classification symbols)						
U.S. : 530/300, 350; 514/2; 536/23.1							
Documentation searched other than minimum documentation to the	se extent that such documents are included in the fields searched						
Electronic data base consulted during the international search (n DIALOG (MEDLINE, BIOSIS, EMBASE, WPI, USPATFU xenopus	name of data base and, where practicable, search terms used)						
C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category* Citation of document, with indication, where a	appropriate, of the relevant passages Relevant to claim No.						
Y, P  BOUWMEESTER et al. Cerberus i factor expressed in the anterior organizer. Nature. 15 August 15 pages 595-601, see entire docum	endoderm of Spemann's 996, Vol. 382, No. 6592, nent.						
Purther documents are listed in the continuation of Box	C. See patent family annex.						
Special entegories of ched documents:	"I" later document published after the international filing data or priority data and not in conflict with the application but cited to understand the						
"A" document defining the general state of the art which is not considered to be of particular relevance	principle or theory underlying the invention.						
*E* certier document published on or after the international filing date	"X" document of particular relevance; the chilenel invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone						
"L" document which many throw doubts on priority claim(s) or which is clied to establish the publication date of saother clistics or other special reason (se specified)	"Y" document of particular relevance; the claimed invention cannot be						
"O" document referring to an oral disclosure, use, exhibition or other means	considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art						
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent family						
Date of the actual completion of the international search 29 AUGUST 1997	Date of mailing of the international search report  11 SEP 1997						
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT	Authorized officer HEATHER BAKALYAR MILLS						
Washington, D.C. 20231 Feographic No. (703) 305-3230	Telephone No. (703) 308-0196						

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US97/10942

A. CLASSIFICATION OF SUBJECT MATTER: IPC (6):					
A01N 37/18; A61K 38/00; C07K 1/00, 2/00, 4/00, 7/00, 14/00, 16/00, 17/00; C07H 21/02, 21/04					
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Porm PCT/ISA/210 (extra sheet)(July 1992)\*



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	IN AN APPLI	ICATION	APR 0 9 2002	Applicant: De Robe	rtis et al.			TEO
	(Use several sheet			Filing Date: July 11	, 2001	Group A	urt Unit: 1647	工
			FRANCE IN LONG LAND					
		<del></del>	U.S. PATENT DOCUM	IENTS				<u></u> ≣
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCL	ASS		G DA F
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71	<u> </u>	<u>1</u>	FOREIGN PATENT DOC	UMENTS			<u>L</u>	<del>- 8</del>
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<i>UR</i>	94/05800	03/17/1994	PCT		<del> </del>		**********	
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EXAMINER	Daniel Kome	DATE CONSIDERED	5	/26	14		
EXAMINER: In considered, in	nitial if reference considered, whether or not citation is in confor clude copy of this form for next communication to the Applicant	mance with MPEP 609; draw I	line throu	gh citat	on If no	in conformance and n	not